

Date: **DATE**

Personal Account Dealing - Investment Advisory Relationship with **CLIENT ("referenced employee")**
Account number: **BROKER ID**

Dear Sir/Madam,

We understand that the above referenced employee of your firm is seeking an approval to open and maintain an account with Endowus HK Ltd. (**Endowus**).

In connection with that request, and for the purpose of your firm's employee personal account dealing (or similar) policies only, we represent that:

1. Endowus is licensed under the Securities and Futures Ordinance (Cap571) to carry on business in Type 1 (Dealing in Securities), Type 4 (Advising on Securities) and Type 9 (Asset Management) regulated activities.
2. Endowus:
 - only offers collective investment schemes to customers.
 - does not offer exchange-traded products, or individual securities acquired directly through an initial public offering or through a private placement.

For more information on our services, please refer to our website (www.endowus.hk).

At the time of providing this letter, the representations above are accurate. Whilst we will use our reasonable endeavours to notify you of any changes to our products and services, the above referenced employee is responsible for informing you of any future change(s) to the products and services provided to him/her by Endowus. Endowus is not responsible for any current or future breaches of any law, regulations or policies applicable to the above referenced employee.

If you have any questions, please do not hesitate to contact the undersigned or send us an email at support.hk@endowus.com:

For and behalf of,
Endowus HK Limited

Endowus Staff: :
Designation :

Rep Number :
Telephone No :